Surface Acoustic Wa e (SAW) Tactile Display Based on Properties of Mechanoreceptors

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Abstract

In this p apr, we first analyze dynamic properties of rapidly adapting mechanoreceptors in order to derive a principle of tactile displays. It is shown that Meissner corpuscles with coiled axons and Pacinian corpuscles with layered lamellae are suite d to detect equivoluminal distortion of a skin. Combining these analyses with a model of a contact and a relative motion between an object and the skin, it is shown that a prerequisite for tactile displays is to gener atesour *es* of shear stress that can be spatially dispersed at the skin surface and temporally modulate d with a stick-slip frequency determined by parameters of the object to be displayed. As a devic e that satisfys this prerequisite, we propose a tactile display using Surface Acoustic Waves(SAW). The roughness of the surfac ecan be changed continuously by controlling the burst frequency of the SAW.

1 Introduction

Development of realistic tactile displays has been a great challenge in Virtual Reality. V aried actuators suc h as miniature loudspeakers[1], a single micropin[2], a pin array[3], pneumatic actuators[4], and ultrasonic vibrators[5] have been used for displays.

Methods based on the processing properties of human tactile information have also been proposed. Tactile sensations are generated by activation of mechanoreceptive units that are classified into four categories, namely rapidly adapting I and II (RAI, RAII) and slowly adapting I, II (SAI, SAII), whose end organs are Meissner corpuscles, Pacinian corpuscles, Merkel cell-neurite complexes, and Ruffini endings, respectively[6]. Shinoda developed a tactile displa y that stimulates each mechanoreceptor selectively, using an elastic transfer property of a skin[7]. Kajimoto conducted electrical selective stimulation to the receptors[8]. How ever, because parameters that characterize roughness, rigidit y, and material qualit yfor general objects were not clarified in detail, a principle for displa ying general objects by the above devices was not shown, which resulted in using *ad hoc* stimulation in the displays for creating particular sensations.

F urthermore, the mechanisms for the motion of the mechanoreceptors have not been considered at all. Clarifying mechanical properties of the receptors should enable us to obtain a more effective method for stimulating the processing system of human tactile sensation.

Thus, in this paper, we first derive models of Meissner and P acinian corpuscles that play an important role in active touch. In section 2 w eanalyze what they detect inside the skin. In section 3, w eexpress dominant skin deformation detected by the receptors in terms of the specific parameters of objects. By combining these analyses, a flow of tactile information from the parameters of objects to the deformation of the receptors is specified. This flow leads to the creation of a principle that shows effective stimulation for tactile control. Based on this principle, we propose a novel tactile display using SAW in section 4.

2 Models of mechanoreceptors

2.1 Meissner corpuscles

Meissner corpuscles, which have a relatively low er characteristic frequency of about 40[Hz], are localized in the papillary dermis with a height of around $150[\mu m]$ and a diameter of $40 \sim 70[\mu m]$. A structural feature is their coiled axons[9] on which Ca²⁺ ion channels exist[10]. It is kno wn[1] that an open probability of general mechanosensitive ion channels depends on energy of deformation expressed as $U = K/2 \cdot (\Delta A/A)^2 \cdot A$, where K is an elastic modulus of the channel and A is the size of the channel. Thus, it is necessary to analyze deformation of the axon surface when stress by skin is applied to the corpuscles. We discuss below the role of coiled axons of Meissner corpuscles for detecting mechanical deformation of the skin.

2.1.1 T ransmission of deformation by a coil

We first clarify mechanical properties of a coiled structure. As sho wnin Fig.1, we set an x axis along the line of the coil and y, z axes in a section of the line. When normal(Fig.1a)) and shear(Fig.2a)) stresses are applied to the coil, a torsional moment of M_x and bending moments of M_y, M_z It is noteworth y that only the torsional moment is generated when P is applied to the coil. As a result, only shear deformation is generated on a coil surface when normal stress is applied to the coil(Fig.1b)), while stretch deformation, in addition to shear deformation, is created on the surface when shear stress is applied to the coil(Fig.2b)).



Figure 1: When vertical stress is applied to be coil, a) a torsional moment M_x is generated at a cross section of the line, b) which results in the creation of a shearing deformation at the line's surface



Figure 2: When shear stress is applied to the coil, a) in addition to a torsional moment M_x , bending moments M_y, M_z are generated at cross sections of the line, b) which results in the creation of shearing and stretch deformations at the line's surface

Considering that activation of the axon depends on the ratio of change of the channel size ! \$only the shear stress to the coil, which generates stretch deformations on the coil surface, changes the size of the surface so as to increase the open probability of the channel. Therefore, a hypothesis that the coiled axon of Meissner corpuscles detects shear stress in the skin is derived. F or a verification of the statement, we show next that a tuning curve of the corpuscles can be derived on the basis of the shearing resonant character of the coiled axon.

2.1.2 Dynamic properties of a coil

A coil's longitudinal resonant frequency of ω_z and a shearing resonant frequency of ω_x are expressed as

$$\omega_{z} = \frac{d}{nD^{2}}\sqrt{\frac{\mu}{2\rho}}, \qquad \frac{\omega_{x}}{\omega_{z}} = \sqrt{\frac{2(1+\nu)}{1+12(2+\nu)\left(\frac{H}{D}\right)^{2}}}, (1)$$

where d:the diameter of the line of the coil $\$ μ :the rigidit y of the line, ρ :the densit y of the line ν :the P oisson's ratio of the line. Assuming that $\nu \sim 0.5$ and $H/D = 150[\mu m]/50[\mu m]$ as in the Meissner corpuscles,

$$\frac{\omega_x}{\omega_z} = \frac{1}{10}.$$
 (2)

Thus, it is supposed that the characteristic frequency of the corpuscles derives from the shearing resonant frequency as the minimum resonant frequency of the coiled axon. In fact, with parameters observed in medical experiments[12], $\omega_x/2\pi = 44$ [Hz]! \$which corresponds to the real characteristic frequency. Moreover, the tuning curve itself can be driven from the energy of the shearing deformation of the coil, which depends on the shear stress in the skin, as shown in Fig.3. In this w ayit is hypothesized that Meissner corpuscles might easily detect shearing, equivoluminal deformation in the skin.



Figure 3: The tuning curve of the Meissner corpuscles: ---:experiment[13] ——:theory Assuming that the Meissner corpuscles detect shear stress of the skin, the tuning curve can be driven from the shearing resonant characteristic of the coiled axon



2.2 Pacinian corpuscles

We next consider another RA-type mechanoreceptor, the P acinian corpuscle. The structure of the corpuscle is that an inner core including an axon is surrounded by concentric 20~70-ply layered lamellae as the section is schematically drawn in Fig.4. Neighboring lamellae are connected by collagen fibers. Gaps betw een the neighboring lamellae are filled with liquid[14].



Figure 4: A schematic description of a section of a P acinian corpuscle

Although models of P acinian corpuscles are proposed by Loewenstein [15] and Bell [16], only the simplest deformation (Fig.5; m=2), or a certain specific deformation, is given to the outermost lamella as a boundary condition for a correspondence to physiological experiments [17].



Figure 5: 'Modes' of deformations of Pacinian corpuscles: Arbitrary deformation applied to the corpuscle inside the skin is represented as a summation of modes

T o consider arbitrary deform**itside** the skin, w express displacements and external forces in the θ, r directions of the *n*-th lamella at $r = a_n$ by a summation of the m-th order mode(Fig.5) expressed as

$$Y_n = Y_{n,m} \cdot \sin m\theta, \quad X_n = X_{n,m} \cdot \cos m\theta \quad (3)$$

$$p_{\theta} = (F_{n,m} + T_{n,m})\sin m\theta, \ p_r = (F_{n,m} + S_{n,m})\cos m\theta, \ (4)$$

where $F_{n,m}$:an elastic force by collagen fibers, and $T_{n,m}, S_{n,m}$:shear and normal stresses by liquid. An isotropic stretch represented by ym = 0 is excluded because the corpuscles contain incompressible liquid. A parallel movement of m = 1 is also excluded here. On

the assumption that a lamella is a cylindrical shell, by substituting (3), (4) into Donnell's equations as

$$\frac{C}{a_n^2} \left(\frac{\partial^2 Y_n}{\partial \theta^2} + \frac{\partial X_n}{\partial \theta} \right) + p_\theta = 0, \quad (5)$$

$$\frac{D}{a_n^4} \frac{\partial^4 X_n}{\partial \theta^4} - \frac{C}{a_n^2} \left(\frac{\partial Y_n}{\partial \theta} + X_n \right) + p_r - M \frac{\partial^2 X_n}{\partial t^2} = 0, \quad (6)$$

w e obtain an equation about the displacement of $X_{n,m}$ in the r direction as

$$M\ddot{X}_{n,m} = -k_n X_{n,m} + \frac{m-1}{m} F_{n,m} + \left(S_{n,m} - \frac{1}{m} T_{n,m}\right),$$
(7)

where ρ :the density of the shell $\sharp d$:the thickness of the shell, $M = \rho d$, $C = Ed/(1 - \nu^2)$:the shell's rigidity of stretch $\sharp D = Ed^3/12(1 - \nu^2)$:the shell's rigidity of bending, and $k_n = m^4 D/a_n^4$ is a shell's equivalent spring constant. Here, it can be shown that the elastic force b y collagen fibers is expressed as

$$F_{n,m} = G_{n+1}(X_{n+1,m} - X_{n,m}) - G_n(X_{n,m} - X_{n-1,m}),$$
(8)

and the force by viscosity of liquid is represented as

$$S_{n,m} - \frac{1}{m} T_{n,m} = -R_{n,m} (\alpha_m \dot{X}_{n+1,m} - 2\dot{X}_{n,m} + \beta_m \dot{X}_{n-1,m}),$$

where $R_{n,m} = \frac{2\mu}{a_n} \cdot \frac{m^2 - 1}{m} \cdot \frac{\gamma^{4m} + m\gamma^{2m+2} - m\gamma^{2m-2} - 1}{\gamma^{4m} - m^2 \gamma^{2m+2} + 2(m^2 - 1)\gamma^{2m} - m^2 \gamma^{2m-2} + 1},$
 $\alpha_m = 2\gamma^{m+1} \cdot \frac{(m+1)\gamma^{2m} - m\gamma^{2m-2} - 1}{\gamma^{4m} + m\gamma^{2m+2} - m\gamma^{2m-2} - 1},$
 $\beta_m = 2\gamma^{m-1} \cdot \frac{\gamma^{2m} + m\gamma^2 - (m+1)}{\gamma^{4m} + m\gamma^{2m+2} - m\gamma^{2m-2} - 1},$ (9)

 μ :viscosit y, and $\gamma = a_{n+1}/a_n$! Thus, by solving simultaneous equations of (7) for n = 1..N(n = 1:the inmost lamella! $\mathfrak{P} = N$:the outermost lamella), lamellae's amplitudes in a sinusoidal vibration can be obtained. Fig. 6 depicts a relation betw een the order of the mode and an amplitude of the inmost lamella with a frequency of 100[Hz]. It can be seen that he amplitude of only the mode of m = 2 reaches the inner core.

This model is verified by a derivation of a tuning curve of Pacinian corpuscles (Fig.7) in the mode of m = 2 according to the physiological experiment[17]. P arameters used here[14[15] are $\rho = 10^3$ [kg/m³], $\mu =$ 0.6×10^{-3} [Ns/m²] (40 ! n), N = 40, $E = 5 \times 10^5$ [N/m²], $d = 1 [\mu \text{m}]$, $a_0 = 10 [\mu \text{m}]$, and $\gamma = 1.083$. Because it is indicated that a mechanical filter by lamellae contributes to the tuning curve below the characteristic frequency[16] ! \$validit y of the model that coincides





Figure 6: Amplitude of the inmost lamella (Normalized by the amplitude of the mode of m = 2): Only the mode of m = 2 reaches the axon



Figure 7: The tuning curve of Pacinian corpuscles: ---: Experiment[18] —: Theory

with the experimental curve below the characteristic frequency can be confirmed.

In this w ay, the structure of the layered lamellae of P acinian corpuscles can detect skin's equivluminal deformation of the minimum order of m = 2.

3 Skin distortion in active touch

It is necessary for a derivation of a principle of tactile displays, besides the analysis of properties of mechanoreceptors, to clarify deformation of a skin in active touc h.

From the analysis [20] of a contact and a relative motion betw een a skin and an object which has a Gaussian surface, it is shown that normal stress of p and shear stress of q to the skin surface at the positions of the projections are

$$q = s + \frac{\sigma}{\beta}p, \qquad p = \frac{E}{\pi} \cdot \frac{\sigma}{\beta},$$
 (10)

which vibrate temporally with a stick-slip frequency of f_{ss} expressed as

$$f_{ss}^{-1} = \frac{2}{\omega_0} \left(\pi - \tan^{-1} R + R \right), \qquad (11)$$

$$R = \frac{(\mu_s - \mu_c)P}{m\omega_0 U}, \qquad (12)$$

$$\mu_s - \mu_c = \frac{\pi (s - s')\beta}{E\sigma}, \quad \omega_0 = \sqrt{\frac{k}{m}}, \quad (13)$$

where E_o , E_s : Young's ratio of the object and the skin, respectively! \wp_o , ν_s : Poisson's ratio of the object and the skin! \$ espectively, $1/E = (1-\nu_s^2)/E_s + (1-\nu_o^2)/E_o$, s and s' are the static and kinetic shear strength of the skin, σ :standard deviation of the topography, β :mean radius of projections of the topography, P:a total normal pressure by the skin, U:a velocity of the motion, m, k:mass and elasticity of the skin. The relation betw eenthe parameters of an object and the stick-slip frequency, which is a key relation for displaying material qualit yin section 4, is drawn in Fig.8 with, for example, P = 1[N], m = 1[g], k = 500[N/m], U = 4[cm/s].



Figure 8: A relation betw een a parameter of $\mu_s - \mu_c$ and a stick-slip frequency

Under these boundary conditions at the skin surface, let us consider deformation inside the skin. A t the moment of the shift from the stick state to the slip state, the normal and the shear stresses begin to move suddenly on the skin surface. As a result, elastic waves are created inside the skin[21], which are dominant deformations because amplitudes are much greater than the other stationary deformation. Displacements inside the skin are drawn in Figs. 9 and 10 when the normal and shear stresses that vary with time as the Heaviside unit function are applied to the skin surface (We call these surface stresses 'the normal source' and 'the shear source').

The remarkable point is that the polarity of the S-wave's amplitudes in Fig.b), which are much larger than the P-wave's amplitude in Fig.a), turns over along the horizontal axis by the normal source (Fig.9b)) in contrast to the constant polarity by the shear source(Fig.10b)). As a result, the S-waves by many normal sources distributed over the skin surface are canceled as in Fig.11a), while the S-waves by the distributed shear sources become large due to a constructive interference as in Fig.11b). In this way, it is shown that primal surface stress, which creates dominant deformation inside the skin, is dispersed shear stress.





Figure 9: Amplitudes of P and S wavesinside the skin when a normal source of P is applied to the skin surface: The horizontal axis is a distance along the skin surface from the point where the stress is applied



Figure 10: Amplitudes of P and S waves when a shear source of Q is applied to the skin surface



Figure 11: Amplitudes of S-waves by several sources dispersed at the intervals of 1[mm] on the finger surface: a)By normal sources b)By shear sources

1) P arameters of the object to be touc hed and the motion of an active touch: $(E_0, \nu_o, \sigma, \beta) \times (P, U)$ п_

2) Stress on the skin surface

Dispersed sources of shear stress! $q = s + E/\pi \cdot (\sigma/\beta)^2$ Stic k-slip frequency! $f_{ss} = 1/T_{ss}$ in (11) ~ (13)

3)Equivoluminal deformation inside the skin (Fig.11b))

4) Meissner and Pacinian corpuscles : Detection of the equivoluminal deformation (sec.2). The ratio of the con tribution of both corpuscles is changed by f_{ss} .

Figure 12: A flow of tactile information

The analyses in sections 2 and 3 are summarized as a flow of tactile information as in Fig.12.

SAW tactile display 4

4.1Shear sources method

F rom the above discussion, the prerequisites for tactile con trol, especially for stimulating RA mechanoreceptors, can be driven as follows:

- Sources of shear stress should be applied to the skin surface in order to create equivoluminal distortion detected by mechanoreceptors.
- The sources of shear stress can be spatially dispersed on the finger surface
- The sources of shear stress can be temporally modulated with a stick-slip frequency of f_{ss} determined by the parameters of the object to be displayed.

Though previous tactile displays have used varied actuators ([1][2][3][4] and so on), almost all stimulation is perpendicular to the skin surface with the exception of two methods. The first method, which controls the parallel force to the skin surface, uses ultrasonic vibrations[5]. A frictional force betw een a metal plate and a finger, which is a shear stress to the skin surface, can be con trolled by a squeeze-film effect. The display succeeded in creating a sensation as if the surface were smooth by a stationary vibration, or as if a small projection were created on the surface by a momentary vibration. The second method, which is supposed to be equal to control shear stress to the skin, uses a several phase-inverted perpendicular vibrations[7]. In geophysical exploration, two phaseinverted P-wave vibrators are used as a source of an S-wave[22]. The fact that two vertical vibrators driven out of phase create an S-wave can be confirmed by the spatial pattern of amplitudes in Fig.9b). The display can create a sensation as if a smooth surface, a sponge, or a pin moved on the skin.

How ever, because the relative motion between the skin and a real object has not been analyzed until now, it is unclear how to temporally modulate shear stress, and it is impossible to control a sensation of roughness systematically as if diameters of small projections on a rough surface changed continuously. We propose to use SAW to generate sources of shear stress that satisfy the above three prerequisites for tactile control. 4.2Device

SAW has recently attracted attention for sources of driving force for linear motors [23], in addition to its practical application as a filter for telecommunication



equipment. We use SAW as sources of shear stress to a finger surface for tactile control.

The structure of the tactile display is shown schematically in Fig.13. In a LiNbO₃ Y-cut substrate whose size is 17[mm] ×63[mm]×1[mm], SAW is generated by an alternative voltage to an interdigital transducer(IDT). The wavelength of SAW is about 265[μ m] with the driving frequency of 15[MHz]. One of the prominent advantages of the display is the thinness of the substrate of 1[mm] for creating stimuli to the finger.

IDTs are placed at both ends of the substrate. According to the use of progressive w ares or standing w ares, it is decided to apply an alternative voltage to one side or both sides of the IDTs. For generating standing waves effectively, Open Metal Strip Array(OMSA) are set after IDTs as reflectors.



LiNbO₃ substrate

Figure 13: Schematic description of the SAW tactile display

In our tactile display, the substrate is explored with a 'slider' shown in Fig.16 in the last page. The slider has around 100 steel balls with a diameter of $800[\mu m]$ on a thin tape. The reasons to use a slider are as follows: 1) By pressing steel balls with the finger, a driving force can be effectively transmitted to the finger. 2) Steel balls can provide distributed points to which stress is applied on the finger surface, assuming that the tape is satisfactorily thin and soft.

4.3 Principle

The principle for generating sources of shear stress that are distributed spatially and modulated temporally is described in the following text.

When the substrate without SAW is explored by the finger with the slider, kinetic friction by the substrate is applied to the steel balls, thus creating sources of shear stress on the surface of the finger at the positions of all the steel balls distributed spatially(Fig.14 a)).

Now, by generating SAW, friction betw een the steel balls and the substrate is decreased compared to the substrate without SAW for the following three reasons: 1) Decrease in contact time betw een the balls and the substrate; 2) A squeeze-film effect by the air that exists betw een the balls and the substrate; and 3) A parallel movement of the wave crest (only in using progressive waves). As a result, when either progressive or standing wave of SAW is generated in the substrate, shear stress to the skin becomes smaller(Fig.14 b)) than shear stress without SAW.



Figure 14: Generation of sources of shear stress that can be modulated temporally by burst SAW

Thus, by using a burst SAW, the sources of shear stress applied to the skin surface can be modulated with a burst frequency. The moment when the wave appears suddenly corresponds to the moment when the stick state changes into the slip state because the friction suddenly decreases. Therefore, the stick-slip frequency can be controlled virtually by changing the burst frequency. In this w ay,w e obtain distributed sources of shear stress that are modulated with a stick-slip frequency determined by the solidity and the roughness of the object.

5 Experiments

In this section, we carry out experiments to control roughness of objects by changing the frequency of the modulation of the distributed sources of shear stress.

5.1 Generation of virtual stick-slip

Fig.15 shows an input signal of a burst wave to IDT and the resultant temporal change of friction.

The burst wave has a carrier frequency of 15[MHz] and a dut yratio of 40%. An input pow er is around 10[W] for standing waves and 100[W] for progressive w aves.We use here standing waves.



In the curve of the measured data of friction betw een the slider and the substrate, a 89% decrease of friction from 1.56[N] to 1.4[N] under a normal pressure of 11.7[N] can be confirmed during generation of SAW. This is a virtual stick-slip vibration of friction. The burst frequency, denoted f_b , is a variable for controlling a tactile sensation in the next section.



Figure 15: Experimental data of friction betw een the substrate and the slider. Friction is reduced by generating SAW. Thus, burst standing SAW can create virtual stick-slip vibration of friction.

5.2 Obtained tactile sensation

First of all, when the finger stops on the substrate, the vibration cannot be felt at all. How ever, exploring the substrate generates a sensation of roughness which is variable by the burst frequency of f_b as follo ws.

When $f_b < 30[\text{Hz}]$, the surface feels bumpy as if there existed a row of small solid projections with an interval of a few [mm]. When $30[\text{Hz}] < f_b < 100[\text{Hz}]$, the surface feels rough. With an increase of f_b , the roughness decreases as if the size and the intervals of the projections had become smaller. When $f_b >$ 100[Hz], the surface feels smooth or slippery with low friction.

5.3 Evaluation

A Psychophysical experiment called Scheffé's method of pair comparison is carried out for an evaluation of the tactile display. Four real objects and four virtual roughness in the display are presented to three subjects. The real objects are sandpaper #60, #120, #240, and #320. The virtual roughness is presented by changing the burst frequency of 20[Hz], 40[Hz], 80[Hz], and 200[Hz]. A scale of the roughness of the real and the virtual surfaces is constructed by ranking the roughness of the pair presented. A virtual surface can be evaluated by a correlation betw een the ranking of the real and the virtual surfaces.

A composed scale of the roughness of the real and the virtual objects is provided as follows.

Real objects (Sandpapers)		Virtual objects (Display)	
The number	scale	f_b	scale
#60	-1.125	20[Hz]	-1.125
#120	-0.375	40[Hz]	-0.5
#240	0.375	80[Hz]	0.5
#320	1.125	200[Hz]	1.125

The correlation betw een the real and the virtual objects calculated from the above data is 0.98. The high value of the correlation is reasonable from a definite subjective difference betw een a bumpy surface with a frequency of 20[Hz] and a smooth one with a frequency of 200[Hz].

What characteristics of objects are presented in this SAW tactile display? The variable of f_b in the experiment corresponds in the real case to a stick-slip frequency of f_{ss} . As shown in Fig.8, f_{ss} increases when the β , which is the parameter of roughness, decreases. The experimental result that smoothness increases when f_b increases is justified by this fact. More precisely ,as a parameter of the quality of the material, a stick-slip frequency determined by $E\sigma/\beta$ can be represented in the SAW tactile display by a burst frequency of f_b .

6 Conclusion

In this paper, in order to obtain a principle for tactile displays, we first analyzed dynamic properties of mechanoreceptors and a skin. The results were as follows:

- Meissner corpuscles with coiled axons might easily detect shearing deformation of the skin due to the resultant stretches on the surface of the axons.
- P acinian corpuscles might easily detect a minimum order equivoluminal distortion of the skin due to the rapid decay of the higher modes through the lay ered lamellae.
- In a contact and a relative motion betw een an object and a skin surface, stress generated at the skin surface, which creates dominant deformations inside the skin, is dispersed shear stress due to the constructive interference of distortion. The shear stress changes temporally with a stick-slip frequency determined by the parameters of solidity and roughness of the object.

F rom the above analyses, we obtained a prerequisite for tactile control that a tactile display should generate sources of shear stress that are spatially distributed and temporally modulated with a stick-slip



frequency. We proposed to use SAW for the generation of sources of shear stress that satisfy the prerequisite. A tactile display composed of a LiNbO₃ substrate explored by a finger with a slider was produced. In the SAW tactile display, it was confirmed by psyc hophysical experiments that roughness could be controlled continuously by changing the burst frequency of SAW.



Figure 16: Slider



Figure 17: The SAW tactile display

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